## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

| OMB Number:              | 3235-0287 |  |  |  |  |  |  |  |  |
|--------------------------|-----------|--|--|--|--|--|--|--|--|
| Estimated average burden |           |  |  |  |  |  |  |  |  |
| hours per response:      | 0.5       |  |  |  |  |  |  |  |  |

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(b) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person <sup>*</sup><br>Turley James S           |                     |          | 2. Issuer Name <b>and</b> Ticker or Trading Symbol<br><u>PRECIGEN, INC.</u> [ PGEN ]   |                   | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable)<br>X Director 10% Owner |                       |  |  |  |  |
|--|---------------------|----------|--|-------------------|--|-----------------------|--|--|--|--|
| (Last)   | (First)             | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year)<br>03/14/2024   |                   | Officer (give title below)   | Other (specify below) |  |  |  |  |
| 20374 SENECA MEADOWS PARKWAY   |                     | PARKWAY  | 4. If Amendment, Date of Original Filed (Month/Day/Year)   | 6. Indiv<br>Line) | <ol> <li>6. Individual or Joint/Group Filing (Check Applica<br/>Line)</li> </ol>                   |                       |  |  |  |  |
| (Street)   |                     |          |  | X                 | X Form filed by One Reporting Person   |                       |  |  |  |  |
| · /  | GERMANTOWN MD 20876 |          |  |                   | Form filed by More that<br>Person  | an One Reporting      |  |  |  |  |
| (City)   | (State)             | (Zip)    | Rule 10b5-1(c) Transaction Indication  |                   |  |                       |  |  |  |  |
|  |                     |          | Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. |                   |  |                       |  |  |  |  |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |                     |          |  |                   |  |                       |  |  |  |  |

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 3.<br>Transa<br>Code (<br>8) |   | 4. Securities Acquired (A) or<br>Disposed Of (D) (Instr. 3, 4 and 5) |               |                              | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership |
|---------------------------------|--|---|------------------------------|---|--|---------------|------------------------------|---|---|---|
|                                 |  |   | Code                         | v | Amount   | (A) or<br>(D) | Price                        | Transaction(s)<br>(Instr. 3 and 4)  |   | (Instr. 4)  |
| Common Stock                    | 03/14/2024                                 |   | A                            |   | 43,706   | Α             | <b>\$1.43</b> <sup>(1)</sup> | 472,441   | D   |   |

|   | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned<br>(e.g., puts, calls, warrants, options, convertible securities) |  |   |                              |   |   |     |   |                    |   |  |  |  |   |  |
|---|---|--|---|------------------------------|---|---|-----|---|--------------------|---|--|--|--|---|--|
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)           | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security   | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | Derivative Expiration Date of Securities (Month/Day/Year) Und<br>Acquired (A) Der |     | 7. Title and Amount<br>of Securities<br>Underlying<br>Derivative Security<br>(Instr. 3 and 4) |                    | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |   |  |
|   |   |  |   | Code                         | v | (A)   | (D) | Date<br>Exercisable   | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares  |  | Transaction(s)<br>(Instr. 4)                                       |   |  |
| Restricted<br>Stock<br>Units                                  | (2)   | 03/14/2024                                 |   | Α                            |   | 87,412  |     | (3)   | (3)                | Common<br>Stock                                     | 87,412   | \$0  | 87,412   | D |  |
| Option to<br>Purchase<br>Common<br>Stock<br>(Right to<br>Buy) | \$1.43  | 03/14/2024                                 |   | A                            |   | 101,626   |     | 03/14/2024  | 03/14/2034         | Common<br>Stock                                     | 101,626  | \$0  | 101,626  | D |  |

Explanation of Responses:

1. The shares were issued to the reporting person in lieu of an annual retainer of \$62,500.

2. Each restricted stock unit ("RSU") represents a contingent right to receive one share of Precigen common stock.

3. The RSUs vest in full on March 14, 2025.

## <u>/s/ James S. Turley, by Donald</u> <u>P. Lehr, as attorney-in-fact</u> 03/18/2024

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.