## SEC Form 4

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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1. Name and Addres <u>MITCHELL</u>	s of Reporting Person DEAN J	*	2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>INTREXON CORP</u> [ XON ]		tionship of Reporting Person all applicable) Director	n(s) to Issuer 10% Owner
(Last) 20374 SENECA	(First) MEADOWS PAR	(Middle) KWAY	3. Date of Earliest Transaction (Month/Day/Year) 03/08/2019		Officer (give title below)	Other (specify below)
(Street) GERMANTOW	N MD (State)	20876 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	idual or Joint/Group Filing (C Form filed by One Reporti Form filed by More than C Person	ng Person

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed 3. Execution Date, if any (Month/Day/Year) 8)			4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	03/08/2019		A		11,166	A	<b>\$5.06</b> <sup>(1)</sup>	32,844	D	
Common Stock	03/12/2019		М		8,906	A	\$3.17	41,750	D	

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		(Instr. 4)		
Restricted Stock Units	(2)	03/08/2019		A		24,703		(3)	(3)	Common Stock	24,703	\$ <mark>0</mark>	32,614	D	
Option to Purchase Common Stock (Right to Buy)	\$5.06	03/08/2019		A		42,450		03/08/2019	03/08/2029	Common Stock	42,450	\$0	42,450	D	
Option to Purchase Common Stock (Right to Buy)	\$3.17	03/12/2019		М			8,906	(4)	03/17/2019	Common Stock	8,906	\$0	0	D	

Explanation of Responses:

1. The shares were issued to the reporting person in lieu of an annual retainer of \$56,500.

2. Each restricted stock unit represents a contingent right to receive one share of XON common stock.

3. The restricted stock units vest in full one year from the grant date.

4. The option was immediately exercisable.

**Remarks:** 

/s/ Dean J. Mitchell, by Donald

03/12/2019

Date

\*\* Signature of Reporting Person

P. Lehr, as attorney-in-fact

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.