**RADFORD** 

(City)

(Last)

VA

(State)

(First)

1. Name and Address of Reporting Person\*

**INTREXON CORP** 

24141

(Zip)

(Middle)

## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

av if no longer subject to	STATEMENT OF CHA

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number: 3235-0287
Estimated average burden
hours per response: 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(b) of the Investment Company Act of 1940

						or Se	ection 30(h)	of the	Investme	ent C	ompany Act	of 1	940								
													Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director X 10% Owner								
					Date of Earliest Transaction (Month/Day/Year) 2/11/2017									Officer (g below)	give title		Other below	(specify /)			
(Street)	RD V	VA	24141			1. If Am	nendment, C	nendment, Date of Original Filed (Month/Day/Year)							6. Indi	vidual or Joi Form file Form file	d by On	e Repor	ting Pers	on	
(City)	(5	State)	(Zip)																		
		7	able I - No	on-De	eriva	tive S	Securitie	s Ac	quired	l, Di	sposed (	of, c	r Ber	neficia	ally (	Owned					
1. Title of Security (Instr. 3)  2. Transaction Date				2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transa Code (I 8)		4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4			d 5)	5. Amount of Securities Beneficially Owned Following Reported		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)					
									Code	v	Amount		(A) or (D)	Price	;	Transaction(s) (Instr. 3 and 4)				(111341. 4)	
Common Stock				12/11/2017					P		2,567,121		A	\$0.	.77	5,773,626 <sup>(1)</sup>		I		by NR VII Holdin	
Common	Stock			12/	12/11/2017				P		155,34	<b>1</b> 7	A	\$0.77		349,386 <sup>(1)</sup>		I		by Kap Joe <sup>(2)</sup>	pital
Common Stock				12/	12/11/2017				P		4,805	5	A \$0.7		.77	10,806 <sup>(1)</sup>		I		by Mascar Kaboo	
Common	Stock															2,133,595(1)			I		on <sup>(3)</sup>
			Table II				ecurities alls, warr									wned					
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Difficulties if any (Month/Day/	ate,	4. Transa Code ( 8)		5. Number Derivative Securities Acquired ( or Dispose (D) (Instr. 3 and 5)	(A) ed of	6. Date E Expiration (Month/E	n Dat		Sec	7. Title and Amou Securities Under Derivative Securi (Instr. 3 and 4)  Title  Amou Numb Share		ying Derivative		9. Num derivat Securit Benefic Owned Follow Report	tive ties cially I ing ed	e Owners s Form: Direct (I or Indirect g (I) (Instr	Beneficial Ownership ect (Instr. 4)	
					Code	v	(A)	(D)	Date Exercisa		Expiration Date	Title			r of	- Trans (Instr.		action(s) 4)			
Warrant to Purchase Common Stock	\$0.77	12/11/2017			P		2,567,121		12/11/20	)17	12/11/2022		mmon tock	2,567,	,121	(4)	2,56	7,121	I	VII	NRM dings <sup>(2)</sup>
Warrant to Purchase Common Stock	\$0.77	12/11/2017			P		155,347		12/11/20	)17	12/11/2022		mmon tock	155,3	347	(4)	155	i,347	I	by F Joe <sup>(</sup>	Kapital (2)
Warrant to Purchase Common Stock	\$0.77	12/11/2017			P		4,805		12/11/20	)17	12/11/2022		mmon tock	4,80	)5	(4)	4,8	805	I	by M Kab	Mascara ooom <sup>(2)</sup>
	nd Address of RANDAI	Reporting Person*																			
	RD SECUI	-	(Midd	lle)																	
(Street)																					

C/O LEGAL DEPARTMENT 20374 SENECA MEADOWS PARKWAY						
(Street) GERMANTOWN	MD	20876				
(City)	(State)	(Zip)				

## **Explanation of Responses:**

- 1. The indicated number of common shares is reflective of a 1-for-3 reverse stock split effected by the issuer on March 13, 2017.
- 2. Randal J. Kirk controls Kapital Joe, LLC ("Kapital Joe"), Mascara Kaboom, LLC ("Mascara Kaboom") and NRM VII Holdings I, LLC ("NRM VII Holdings"). Shares held by these entities may be deemed to be indirectly beneficially owned (as defined under Rule 13d-3 promulgated under the Securities Exchange Act of 1934, as amended) by Mr. Kirk. Mr. Kirk disclaims beneficial ownership of such shares, except to the extent of any pecuniary interest therein.
- 3. Randal J. Kirk, directly and through certain affiliates, is the largest shareholder of Intrexon Corporation ("Intrexon") and serves as Intrexon's Chairman of the Board and Chief Executive Officer. Mr. Kirk may therefore be deemed to have voting and dispositive power over the shares of the issuer owned by Intrexon. Shares held by Intrexon may be deemed to be indirectly beneficially owned (as defined under Rule 13d-3 promulgated under the Securities Exchange Act of 1934, as amended) by Mr. Kirk. Mr. Kirk disclaims beneficial ownership of such shares, except to the extent of any pecuniary interest therein.
- 4. The indicated number of warrants to purchase common stock of the issuer were received in connection with the purchase of common stock in an underwritten public offering of the issuer, which closed on December 11, 2017 (the "Offering"). In the Offering, each share of common stock was sold together with a common warrant to purchase one share of common stock at a combined effective price of \$0.77 per share and accompanying common warrant.

 /s/ Randal J. Kirk, CEO of
 12/13/2017

 Intrexon Corporation
 12/13/2017

 /s/ Randal J. Kirk
 12/13/2017

 \*\* Signature of Reporting Person
 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.