FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington,	D.C. 20549
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Check this box if no longer subject
to Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*  Ares Trading S.A.						2. Issuer Name <b>and</b> Ticker or Trading Symbol PRECIGEN, INC. [ PGEN ]									all app Direc	tor	ng Pers	10% O	wner
	(Fi	TTE 151	Middle)			3. Date of Earliest Transaction (Month/Day/Year) 10/08/2021										Officer (give title below)		Other (: below)	specify
ZONE INDUSTRIELLE DE L'OURIETTAZ						4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street) AUBON	NE V8	3 1	170											X	Form	Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(St	ate) (2	Zip)																
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																		
1. Title of Security (Instr. 3)  2. Transac Date (Month/Da				Year) Executio		eemed Ition Date, h/Day/Year)		3. Transaction Code (Instr. 8)		4. Securities Acquire Disposed Of (D) (Ins 5)				5. Amount of Securities Beneficially Owned Following Reported		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)	
						Code	v	Amount	(A) o (D)	r Pric	e	Transa	ction(s) 3 and 4)			(5011 4)			
Common Stock 10/08/2						021					47,304	D	\$4.	.6946	46 20,859,848			D	
Common Stock 10/11/2						021					68,900	D	\$4.	.6681	681 20,790,9			D	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	ive Conversion Date Execution Date, or Exercise (Month/Day/Year) if any		tion Date,	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exerc Expiration Da (Month/Day/Y		ate	7. Title and Amount of Securities Underlying Derivative Security (Ins 3 and 4)		Der Sec (Ins	Price of rivative curity str. 5)	9. Number derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	y C	.0. Dwnership Form: Direct (D) or Indirect I) (Instr. 4)	Beneficial Ownership t (Instr. 4)	
- Francisco estica					Code	v	(A)	(D)	Date Exercis	sable	Expiration Date	Title	Amour or Number of Shares	er					

## **Explanation of Responses:**

1. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by Ares Trading, S.A. on April 29, 2021.

/s/ Cedric Hyde, Authorized Signatory of Merck Serono 10/11/2021 <u>SA</u> /s/ Florence Jolidon, Authorized Signatory of 10/11/2021 Merck Serono SA /s/ Cedric Hyde, Authorized 10/11/2021 Signatory of Ares Trading SA /s/ Tearaboth Te, Authorized 10/11/2021 Signatory of Ares Trading SA /s/ Andreas Stickler, Authorized Signatory of 10/11/2021 Merck KGaA /s/ Tobias Greven, Authorized 10/11/2021 Signatory of Merck KGaA \*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.