SEC Form 4	
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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0287

0.5

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hours per response:

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

			0.00			ipality / lot of 2010						
1	Address of Reporting	Person*		er Name and Ticke CIGEN, INC	0	ymbol	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
SHAFIK	<u>J KODERI D</u>						X	Director	10%	Owner		
(Last) 20374 SENI	(First) ECA MEADOWS	(Middle) PARKWAY		e of Earliest Transa /2021	ction (Month/E	ay/Year)		Officer (give title below)	Othe below	r (specify /)		
			4. If Ar	mendment, Date of	Original Filed	(Month/Day/Year)	6. Indiv	idual or Joint/Grou	p Filing (Check /	Applicable		
(Street)							Line)					
GERMANT	OWN MD	20876						Form filed by On	e Reporting Per	son		
		20070						Form filed by Mo Person	re than One Re	porting		
(City)	(State)	(Zip)						Person				
		Table I - Non-D	Derivative S	Securities Acq	uired, Disp	osed of, or Benefi	cially	Owned				
1 Title of Sec	urity (Instr. 3)	2	Transaction	2A. Deemed	3	4. Securities Acquired (A) or	5. Amount of	6. Ownershin	7. Nature		

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Disposed Of (Disposed Of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock	03/13/2021		М		62,189	A	(1)	185,740	D	
Common Stock								82,966	Ι	By Trust ⁽²⁾

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	(
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Units	(1)	03/13/2021		М			62,189	(3)	(3)	Common Stock	62,189	\$0	0	D	

Explanation of Responses:

1. Each restricted stock unit ("RSU") represents a contingent right to receive one share of Precigen, Inc. common stock.

2. Shares held in the Robert B. Shapiro Revocable Trust.

3. The RSUs vested in full on March 13, 2021.

Remarks:

<u>/s/ Robert B. Shapiro, by</u> <u>Donald P. Lehr, as attorney-in-</u><u>03/16/2021</u> fact

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.