Instruction 1(b)

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington,	D.C.	20549
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*						2. Issuer Name and Ticker or Trading Symbol PRECIGEN, INC. [ PGEN ]									5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
Frank Steven						TREGIOEIT, IITO, [TOEIT]									X Directo	or	10% Owner		ner	
(Last) 20374 SI		irst) EADOWS PARK	(Middle)			Date o		Tran	nsaction (Month/Day/Year)						Officer below)	(give title		Other (s below)	pecify	
		4.1	4. If Amendment, Date of Original Filed (Month/Day/Year)								6. 1	6. Individual or Joint/Group Filing (Check Applicable								
(Street)	·   ···	monancing bate of original rived (Monanbay) real)									Line)									
GERMANTOWN MD 20876															X Form filed by One Reporting Person					
		-										Form filed by More than One Reporting Person								
(City)	(S	tate)	(Zip)												. 5.55					
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
1. Title of Security (Instr. 3)  2. Trans Date (Month/						2A. Deemed Execution Date, if any (Month/Day/Year)		Code (	Transaction Dispo		curities Acquired (A) osed Of (D) (Instr. 3, 4				es ally Following	Form:	Direct Condinect Extr. 4)	7. Nature of Indirect Beneficial Ownership		
						Code	v	Amount (A) or (D)		Price	Reported Transaction (Instr. 3	tion(s)			Instr. 4)					
Common Stock (				03/13	3/202	0			A		24,87	24,875		\$2.01	(1) 262	262,595		D		
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
				(e.g., p	outs,	call	s, warr	ants	, optior	ıs, c	onvertil	ble s	ecur	ities)						
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deeme Execution if any (Month/Day	Date,	4. Transa Code (I 8)		of E		6. Date Exercisal Expiration Date (Month/Day/Year)		of Securities		s Security	8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	Ownersh Form: Direct (D or Indire (I) (Instr.	Ownership	Beneficial Ownership (Instr. 4)		
					Code	v	(A)	(D)	Date Exercisal		Expiration Date	Title		Amount or Number of Shares						
Restricted Stock Units	(2)	03/13/2020			A		62,189		(3)		(3)	Com Sto		62,189	\$0	62,189		D		
Option to Purchase Common Stock (Right to Buy)	\$2.01	03/13/2020			A		96,324		03/13/202	20 (	03/13/2030	Com		96,324	\$0	96,324		D		

## **Explanation of Responses:**

- 1. The shares were issued to the reporting person in lieu of an annual retainer of \$50,000.
- 2. Each restricted stock unit represents a contingent right to receive one share of Precigen, Inc. common stock.
- 3. The restricted stock units vest in full on March 13, 2021.

## Remarks:

/s/ Steven Frank, by Donald P. Lehr, as attorney-in-fact

03/17/2020

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.