Common Stock

FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

<b>STATEMENT</b>	OF CHANGES	IN BENEFICI	AL OWNERSHIP

l	OMB APPROVAL										
	OMB Number:	3235-0287									
l	Estimated average burden										
ı	l .										

975,084

975,084

4,995,000

2,497,500

832,500

16,406,828

1,144,481

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Staff 2015(1) Staff

2015(1) by Sr.

Staff 2007(1) by Staff

2007(1)

Incentive 2007(1) by

Sunset 2020(1) by

Spouse

Check this box if no longer subject

to Section 16. obligations ma Instruction 1(b	Form 4 or Form 5 by continue. See ).	-	Filed		nt to Section 16(a) ction 30(h) of the In					934	ll ll	stimated average bu ours per response:	rden 0.5	
1. Name and Address of Reporting Person* <u>KIRK RANDAL J</u>				2. Issuer Name and Ticker or Trading Symbol PRECIGEN, INC. [ PGEN ]							k all applicable) Director		Owner	
(Last) (First) (Middle) C/O THIRD SECURITY, LLC					te of Earliest Transa 7/2020	action (N	Month.	/Day/Year)		Officer (give t below)		Other (specify below)		
1881 GROVE AVENUE  (Street)  RADFORD VA 24141				4. If Amendment, Date of Original Filed (Month/Day/Year)							Individual or Joint/Group Filing (Check Applicable Line)     X Form filed by One Reporting Person     Form filed by More than One Reporting			
(City)	(State)	(Zip)								Person				
		Table I - No	n-Deriva	tive S	ecurities Acq	uired,	Dis	posed of,	or Ber	eficially	y Owned			
1. Title of Security (Instr. 3)			2. Transaction Date (Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Disposed Of 5)	Acquired f (D) (Insti	i (A) or : 3, 4 and	5. Amount of Securities Beneficially Owned Followir	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
						Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)	
Common Stock	(										213,805	I	by ADC 2010 <sup>(1)</sup>	
Common Stock	ζ.										14,140,139	I	by Kapital Joe <sup>(1)</sup>	
Common Stock											311,287	I	by Staff 2001 <sup>(1)</sup>	
Common Stock											58,800	I	by Sr. Staff <sup>(1)</sup>	
Common Stock	ζ.										1,403	I	by Lotus <sup>(1)</sup>	
Common Stock	ζ.										118,266	I	by Sr. Staff 2006 <sup>(1)</sup>	
Common Stock	<u> </u>										59,133	I	by Staff 2006 <sup>(1)</sup>	
Common Stock	ζ.										19,711	I	by Incentive 2006 <sup>(1)</sup>	
													by Sr	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3) 1. Title of Derivative Security (Instr. 3)	or Exercise Price of Derivative	3. Transaction Tal Date (Month/Day/Year) 3. Transaction Date (Month/Day/Year)	De Percerivat Execution Date, if any (e.g., pt (Month/Day/Year) 3A. Deemed Execution Date, if any (Month/Day/Year)	tSo,d€{	ulsi, V	Securities Securities Securities (A) or		opertions / canvertib				Security (Instr. 5) 8. Price of	J9. Number of derivative Securities Beneficially 9/WIARIber of Heliowith Beneficially Beneficially Beneficially Beneficially Beneficially Beneficially Following	10. Ownership Form: Direct (D) 100. (Indirect (Ownership) Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership Instature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	of (D) (Instr and 5	. 3, 4	Date Exercisable	Expiration Date	3 and	Amount or Number of Ahasaat		Reported Transaction(s) (Instr. 4)		
Explanati	on of Respons	es:									or Number				

Explanation of Responses:

1. Randal J. Kirk controls each of ADC 2010, LLC ("ADC 2010"), Kapital Joe, LLC ("Kapital Joe") Printed Security Satiration LLC ("Selft 2001"), Third Security Senior Staff 2005 ("Sr. Staff 2015"), Third Security Senior Staff 2006 (LLC ("Sr. Staff 2015"), Third Security Senior Staff 2015 LLC ("Sr. Staff 2015"), Third Security Senior Staff 2015 LLC ("Sr. Staff 2015"), Third Security Senior Staff 2015 ("Sr. Staff 2015"), Third Security Senior Staff 2015"), Third Security Senior Staff 2015 ("Sr. Staff 2015"), Third Security Senior Staff 2007 LLC ("Sr. Staff 2015"), Third Security Senior Staff 2007 LLC ("Sr. Staff 2015"), Third Security Senior Staff 2007 LLC ("Sr. Staff 2015"), Third Security Senior Staff 2007 LLC ("Sr. Staff 2015"), Third Security Senior Staff 2007 LLC ("Sr. Staff 2015"), Third Security Senior Staff 2007 LLC ("Sr. Staff 2015"), Third Security Senior Staff 2007 LLC ("Sr. Staff 2015"), Third Security Senior Staff 2007 LLC ("Sr. Staff 2015"), Third Security Senior Staff 2007 LLC ("Sr. Staff 2015"), Third Security Senior Staff 2007 LLC ("Sr. Staff 2015"), Third Security Senior Staff 2007 LLC ("Sr. Staff 2015"), Third Security Senior Staff 2007 LLC ("Sr. Staff 2015"), Third Security Senior Staff 2007 LLC ("Sr. Staff 2015"), Third Security Senior Staff 2007 LLC ("Sr. Staff 2015"), Third Security Senior Staff 2007 LLC ("Sr. Staff 2015"), Third Security Senior Staff 2007 LLC ("Sr. Staff 2015"), Third Security Senior Staff 2007 LLC ("Sr. Staff 2015"), Third Security Senior Staff 2007 LLC ("Sr. Staff 2015"), Third Security Senior Staff 2015"), Third Security Senior Staff 2015", Third Security Senior Staf

/s/ Randal J. Kirk

12/21/2020

\*\* Signature of Reporting Person

Data

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

<sup>\*</sup> If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

<sup>\*\*</sup> Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).