FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number: 3235-0287
Estimated average burden
hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* KIRK RANDAL J					REXON CO				(Ch	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director X 10% Owner						
(Last) (First) (Middle) C/O THIRD SECURITY, LLC 1881 GROVE AVENUE					te of Earliest Transa 4/2020	action (N	Month	/Day/Year)		X Officer (give title below) Executive Chairman						
(Street) RADFORD VA 24141				4. If Amendment, Date of Original Filed (Month/Day/Year)							Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting					
(City)	(State)	(Zip)									Person					
	7	able I - No	n-Deriva	tive S	Securities Acq	uired	, Dis	-			ly Owned					
1. Title of Security (Instr. 3)		2. Transacti Date (Month/Day		2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4 5)		(A) or 3, 4 and	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)				
						Code	v	Amount	(A) or (D)	Price	Transaction(c)		(3 4)			
Common Stock			01/04/20	020		M		3,443	A	(1)	18,495,619	I	by R.J. Kirk DOT ⁽²⁾			
Common Stock											3,188,586	I	by Third Security			
Common Stock											207,014	I	by JPK 2008 ⁽²⁾			
Common Stock											1,234,073	I	by JPK 2009 ⁽²⁾			
Common Stock											1,066,124	I	by JPK 2012 ⁽²⁾			
Common Stock											157,413	I	by Kellie L. Banks LTT ⁽²⁾			
Common Stock											205,763	I	by MGK 2008 ⁽²⁾			
Common Stock											1,377,412	I	by MGK 2009 ⁽²⁾			
Common Stock											1,165,897	I	by MGK 2011 ⁽²⁾			
Common Stock											975,084	I	by Sr. Staff 2015 ⁽²⁾			
Common Stock											975,084	I	by Staff 2015 ⁽²⁾			
Common Stock											176,628	I	by ZSK 2008 ⁽²⁾			
Common Stock											122,118	I	by ZSK 2009 ⁽²⁾			
Common Stock											14,140,139	I	by Kapital Joe ⁽²⁾			
Common Stock											8,325,000	I	by TSCP V ⁽³⁾			
Common Stock											311,287	I	by Staff 2001 ⁽³⁾			
Common Stock											1,403	I	by Lotus ⁽³⁾			

1. Title of Security (Instr. 3)			2. Transaction Date (Month/Day/Year		ur) I	2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	i lly	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Direct In ndirect B r. 4) O	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
									Code	v	Amount	(A) or (D)	Price	Transactio			(1	115(1.4)
Common Stock													118,	266	1	ı s	y Senior taff 006 ⁽³⁾	
Common Stock													59,1	.33]		y Staff 006 ⁽³⁾	
Common Stock													19,7	'11]		y ncentive 006 ⁽³⁾	
Common Stock													5,483	,957]		y Iascara Iaboom ⁽³⁾	
Common Stock													58,8	300]		y Sr. taff ⁽³⁾	
Common Stock													213,805]		y ADC 010 ⁽³⁾	
Common Stock													1,356,648]	ı s	y Senior taff 008 ⁽³⁾	
Common Stock													1,356	,648]		y Staff 010 ⁽³⁾	
Common Stock													1,142	,758]		y ncentive 010 ⁽³⁾	
Common Stock													13,340,645]	ı v	y NRM I	
Common Stock													243,	001]	ı v	y NRM I I I I I I I I I I I I I I I I I I I	
		7	Table II -								osed of,			Owned				
1. Title of Derivative Security (Instr. 3) 2. Conversion or Exercise Price of Derivative Security 3. Transaction Date (Month/Day/Year)		3A. Deen Executio if any (Month/D			actio	ion of E		6. Date E	6. Date Exercisa Expiration Date Month/Day/Yea		Amount of Securities		Derivative Security (Instr. 5) Benefic Owned Follow Report Transa	9. Numb derivativ Securiti Benefici Owned Followir Reporte Transac (Instr. 4)	ve es ially ng ed etion(s)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownershi (Instr. 4)	
					Code	v	(A)		Date Exercisa		Expiration Date	Title	Amount or Number of Shares					

Explanation of Responses:

Restricted

Stock

1. Each restricted stock unit represents a contingent right to receive one share of issuer common stock.

01/04/2020

2. Randal J. Kirk controls each of R.J. Kirk Declaration of Trust ("R.J. Kirk DOT"), Third Security, LLC ("Third Security, LLC"), JPK 2008, LLC ("JPK 2008"), JPK 2009, LLC ("JPK 2009"), JPK 2012, LLC ("JPK 2012"), Kellie L. Banks (2009) Long Term Trust ("Kellie L. Banks LTT"), MGK 2008, LLC ("MGK 2008"), MGK 2009, LLC ("MGK 2009"), MGK 2011, LLC ("MGK 2011"), Third Security Senior Staff 2015 LLC ("Sr. Staff 2015"), Third Security Staff 2015 LLC ("Staff 2015"), ZSK 2008, LLC ("ZSK 2008"), ZSK 2009, LLC ("ZSK 2009"), and Kapital Joe, LLC ("Kapital Joe"). Shares held by these entities may be deemed to be beneficially owned (as defined under Rule 13d-3 promulgated under the Securities Exchange Act of 1934, as amended) by Mr. Kirk. Mr. Kirk disclaims beneficial ownership of such shares, except to the extent of any pecuniary interest therein.

(4)

(4)

- 3. Randal J. Kirk controls each of Third Security Capital Partners V, LLC ("TSCP V"), Third Security Staff 2001 LLC ("Staff 2001"), Lotus Capital (2000) Company Inc. ("Lotus"), Third Security Senior Staff 2006 LLC ("Senior Staff 2006"), and Third Security Staff 2006 LLC ("Staff 2006"), Third Security Incentive 2006 LLC ("Incentive 2006"), Mascara Kaboom, LLC ("Mascara Kaboom"), Third Security Senior Staff 2006"), Third Security Senior Staff 2006"), Third Security Incentive 2006 LLC ("Incentive 2006"), Mascara Kaboom, LLC ("Mascara Kaboom"), Third Security Senior Staff 2006"), Third Security Senior Staff 2006"), Third Security Incentive 2006 LLC ("Incentive 2006"), Mascara Kaboom, LLC ("Mascara Kaboom"), Third Security Senior Staff 2006"), Third Security Senior Staff 2006"), Third Security Senior Staff 2006", Mascara Kaboom, LLC ("Mascara Kaboom"), Third Security Senior Staff 2006"), Third Security Senior Staff 2006"), Third Security Senior Staff 2006", Third Security Senior Staff 2006"), Third Security Senior Staff 2006", Third Security Senior Staff 2006"), Third Security Senior Staff 2006", Third Security Senior Staff 2006", Third Security Senior Staff 2006"), Third Security Senior Staff 2006", Third Security Senior Staf Staff LLC ("Sr. Staff"), ADC 2010, LLC ("ADC 2010"), Third Security Senior Staff 2008 LLC ("Senior Staff 2008"), Third Security Staff 2010 LLC ("Staff 2010"), Third Security Incentive 2010 LLC ("Incentive 2010"), NRM VI Holdings I, LLC ("NRM VI Holdings") and NRM VII Holdings I, LLC ("NRM VI Holdings"). Shares held by these entities may be deemed to be beneficially owned (as defined under Rule 13d-3 promulgated under the Securities Exchange Act of 1934, as amended) by Mr. Kirk. Mr. Kirk disclaims beneficial ownership of such shares, except to the extent of any pecuniary interest therein.
- 4. The restricted stock units were granted on January 4, 2019 and the remaining restricted stock units vest in three equal annual installments on each of January 4, 2021, 2022 and 2023.

3,443

/s/ Randal J. Kirk 01/07/2020

** Signature of Reporting Person

3,443

Stock

\$0

Date

10,332

by R.J.

DOT⁽²⁾

Kirk

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

М

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.