SEC Form 4	
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### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL						
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			or Sec	ction 30(n) of the in	vestment Con	ipany Act of 1940						
1. Name and Address of Reporting Person <sup>*</sup> KIRK RANDAL J				er Name <b>and</b> Ticke PHARM ONO		ymbol [ <u>INC</u> [ ZIOP ]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
	DALJ							Director	10% (	Owner		
(Last) C/O THIRD S 1881 GROVE	1	(Middle)	3. Date 04/28	e of Earliest Transa /2017	ction (Month/E	Day/Year)		Officer (give title below)	Other below	(specify )		
			4. If An	nendment, Date of	Original Filed	(Month/Day/Year)		vidual or Joint/Grou	p Filing (Check /	Applicable		
(Street) RADFORD	VA	24141					Line)	Form filed by On Form filed by Mo Person				
(City)	(State)	(Zip)										
		Table I - Nor	n-Derivative S	ecurities Acq	uired, Disp	oosed of, or Benefi	icially	Owned				
1. Title of Securit	ty (Instr. 3)		2. Transaction Date	2A. Deemed Execution Date,	3. Transaction	4. Securities Acquired (A Disposed Of (D) (Instr. 3,		5. Amount of Securities	6. Ownership Form: Direct	7. Nature of Indirect		

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (		4. Securities Disposed Of 5)			5. Amount of Securities Beneficially Owned Following Reported	Form: Direct of Ind (D) or Indirect Benef	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(instr. 4)

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

				-				-			-										
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4		Expiration Date (Month/Day/Year) ed		Expiration Date		Expiration Date		and 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares										
Series 1 Preferred Stock	(1)	04/28/2017		J		1,094		(1)	(1)	Common Stock	(1)	(2)	110,494 <sup>(2)</sup>	I	by Intrexon <sup>(3)</sup>						

1. Name and Address of Reporting Person\*

### KIRK RANDAL J

(Last)	(First)	(Middle)								
C/O THIRD SECURITY, LLC										
1881 GROVE AVE	INUE									
(Street)										
RADFORD	VA	24141								
	(Ctata)	(7:2)								
(City)	(State)	(Zip)								
1. Name and Address of	f Reporting Person <sup>*</sup>									
INTREXON CO	<u>ORP</u>									
r										
(Last)	(First)	(Middle)								
C/O LEGAL DEPA	RTMENT									
20374 SENECA M	EADOWS PARKWA	ΔY								
(Street)										
GERMANTOWN	MD	20876								
(City)	(State)	(Zip)								

#### Explanation of Responses:

1. Each share of Series 1 Preferred Stock ("Preferred Stock") has a stated value of \$1,200, subject to appropriate adjustment in the event of any stock dividend, stock split, combination or other recapitalization with respect to the shares of Preferred Stock. The shares of Preferred Stock shall automatically convert into shares of the issuer's common stock upon the date of commercialization of a product pursuant to the collaboration between Intrexon Corporation ("Intrexon") and the issuer, subject to conversion limitations set forth in the Securities Issuance Agreement"). The conversion price in connection with such automatic conversion shall be determined as set forth in the Amended and Restated Certificate of Designation, Preferences and Rights of Series 1 Preferred Stock, which the issuer has filed as an exhibit to its Amendment to Current Report on Form 8-K/A, dated July 1, 2016.

2. Intrexon received the shares of Preferred Stock as payment-in-kind dividends in connection with its ownership of shares of Preferred Stock. The holders of Preferred Stock are entitled to receive a monthly

dividend payable in additional shares of Preferred Stock equal to \$12.00 per share of Preferred Stock per month (subject to adjustment), divided by the stated value of \$1,200, rounded down to the nearest whole share.

3. Randal J. Kirk, directly and through certain affiliates, has voting and dispositive power over a majority of the outstanding capital stock of Intrexon. Mr. Kirk may therefore be deemed to have voting and dispositive power over the shares of the issuer owned by Intrexon. Shares held by Intrexon may be deemed to be indirectly beneficially owned (as defined under Rule 13d-3 promulgated under the Securities Exchange Act of 1934, as amended) by Mr. Kirk. Mr. Kirk disclaims beneficial ownership of such shares, except to the extent of any pecuniary interest therein.

# /s/ Randal J. Kirk 05/02/2017 /s/ Randal J. Kirk, CEO of Intrexon Corporation 05/02/2017 \*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.