SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934
or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person[*] KINDLER JEFFREY B	2. Issuer Name **and** Ticker or Trading Symbol PRECIGEN, INC. [PGEN]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)
(Last) (First) (Middle) 20374 SENECA MEADOWS PARKWAY	3. Date of Earliest Transaction (Month/Day/Year) 03/13/2020	X Director 10% Owner Officer (give title below) Other (specify below)
(Street) GERMANTOWN MD 20876 (City) (State) (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	03/13/2020		A		34,328	A	$2.01[(1)]	143,071	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Units	[(2)]	03/13/2020		A		62,189		[(3)]	[(3)]	Common Stock	62,189	$0	62,189	D	
Option to Purchase Common Stock (Right to Buy)	$2.01	03/13/2020		A		96,324		03/13/2020	03/13/2030	Common Stock	96,324	$0	96,324	D	

Explanation of Responses:

1. The shares were issued to the reporting person in lieu of an annual retainer of $69,000.

2. Each restricted stock unit represents a contingent right to receive one share of Precigen, Inc. common stock.

3. The restricted stock units vest in full on March 13, 2021.

Remarks:

/s/ Jeffrey B. Kindler, by Donald P. Lehr, as attorney-in-fact   03/17/2020

** Signature of Reporting Person   Date