FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washing

| ton, D.C. 20549 | Ī | OMB AI |
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| OMB APPROVAL          |           |  |  |  |  |  |  |
|-----------------------|-----------|--|--|--|--|--|--|
| OMB Number:           | 3235-0287 |  |  |  |  |  |  |
| Estimated average bur | den       |  |  |  |  |  |  |
| hours per response:   | 0.5       |  |  |  |  |  |  |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

|  |                                       |                       |  |   | or Se  | ection 30(n   | i) or the ii   | nvestme         | nt Cor                  | npany Act o                                    | 1 1940  |   |   |  |                                       |           |  |  |
|--|---------------------------------------|-----------------------|--|---|--|---|--|-----------------|-------------------------|--|---|---|---|--|---------------------------------------|-----------|--|--|
| Name and Address of Reporting Person*  Lehr Donald P.  |                                       |                       |  | 2. Issuer Name and Ticker or Trading Symbol PRECIGEN, INC. [ PGEN ] |  |   |  |                 |                         |  |   | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director 10% Owner |   |  |                                       |           |  |  |
| (Last)   | ,                                     | First)<br>EADOWS PARK | (Middle)   |   | 3. Date of Earliest Transaction (Month/Day/Year) 03/24/2023                            |   |  |                 |                         |  | X   | X Officer (give title Other (specify below)  Chief Legal Officer                            |   |  |                                       |           |  |  |
|  |                                       |                       |  |   |  | 4. If Amendment, Date of Original Filed (Month/Day/Year)  |  |                 |                         |  |   |   | 6. Individual or Joint/Group Filing (Check Applicable Line) |  |                                       |           |  |  |
| (Street) GERMANTOWN MD 20876   |                                       |                       |  |   |  |   |  |                 |                         |  | I '   | X Form filed by One Reporting Person Form filed by More than One Reporting Person           |   |  |                                       |           |  |  |
| (City) (State) (Zip)   |                                       |                       |  |   | Rule 10b5-1(c) Transaction Indication  |   |  |                 |                         |  |   |   |   |  |                                       |           |  |  |
| Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is interaction affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. |                                       |                       |  |   |  |   |  | intended to s   | satisfy the             |  |   |   |   |  |                                       |           |  |  |
|  |                                       | T                     | able I - No  | n-Deriv   | ative S  | Securiti  | es Acc   | uired,          | Dis                     | posed of                                       | , or Ben  | eficially   | Owned   |  |                                       |           |  |  |
| Date   |                                       |                       | 2. Transa<br>Date<br>(Month/D                          | Execution Date,   |  | 3.<br>Transaction<br>Code (Instr. 8)  4. Securities Acquired (A) of Disposed Of (D) (Instr. 3, 4) |  |                 | (A) or<br>. 3, 4 and 5) | and 5) Securities Beneficially Owned Following |   | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4)                           |   | 7. Nature of<br>ndirect<br>Beneficial<br>Ownership                       |                                       |           |  |  |
|  |                                       |                       |  |   |  |   | Code   | v               | Amount (A) or (D)       |  | Price   | Reported<br>Transaction(s)<br>(Instr. 3 and 4)  |   |  |                                       | Instr. 4) |  |  |
| Common Stock 03/   |                                       |                       | 03/24/   | 4/2023  |  | М   |  | 43,419 A        |                         | (1)  | 385,632   |   | D   |  |                                       |           |  |  |
|  |                                       |                       | Table II -   |   |  |   |  |                 |                         | osed of, o                                     |   |   | wned  |  |                                       |           |  |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)  | tive Conversion Date (Month/Day/Year) |                       | 3A. Deemed<br>Execution Dat<br>if any<br>(Month/Day/Yo | Code  | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |   | 6. Date Exercisable and Expiration Date (Month/Day/Year) 7. Title and Am of Securities Underlying Derivative Sect (Instr. 3 and 4) |                 |                         | ies<br>g<br>Security                           | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | derivative<br>Securities<br>Beneficially<br>Owned<br>Following                              |   | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | Beneficial<br>Ownership<br>(Instr. 4) |           |  |  |
|  |                                       |                       |  | Code  | v  | (A)   | (D)  | Date<br>Exercis | able                    | Expiration<br>Date                             | Title   | Amount<br>or<br>Number<br>of Shares   |   | Reported<br>Transaction(s)<br>(Instr. 4)                                 |                                       |           |  |  |
| Restricted<br>Stock<br>Units   | (1)                                   | 03/24/2023            |  | A   |  | 130,258   |  | (2)             |                         | (2)  | Common<br>Stock                                     | 130,258   | \$0   | \$0 130,258  |                                       | D         |  |  |
| Restricted<br>Stock<br>Units   | (1)                                   | 03/24/2023            |  | М   |  |   | 43,419   | (3)             |                         | (3)  | Common<br>Stock                                     | 43,419  | \$0   | 86,839   |                                       | D         |  |  |
| Option to<br>Purchase<br>Common<br>Stock<br>(Right to<br>Buy)  | \$0.96                                | 03/24/2023            |  | A   |  | 56,671  |  | 03/24/2         | 2024                    | 03/24/2033                                     | Common<br>Stock                                     | 56,671  | \$0   | 56,67  | 71                                    | D         |  |  |

## **Explanation of Responses:**

- 1. Each restricted stock unit ("RSU") represents a contingent right to receive one share of Precigen common stock.
- 2. 1/3 of the RSUs vested immediately upon the grant date, and the remaining RSUs vest in four equal installments on each of August 2, 2023, August 9, 2023, August 16, 2023 and August 23, 2023.
- 3. Represents 1/3 of the RSUs granted on March 24, 2023 that vested immediately.

/s/ Donald P. Lehr

03/28/2023

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.