SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

| OMB Number: | 3235-0287 | | | | | | | | |
|--------------------------|-----------|--|--|--|--|--|--|--|--|
| Estimated average burden | | | | | | | | | |
| hours per response: | 0.5 | | | | | | | | |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* HORNER LARRY D /FA | | | 2. Issuer Name and Ticker or Trading Symbol <u>INTREXON CORP</u> [XON] | | 5. Relationship of Reporting Person(s) to Iss Check all applicable) X Director 10% O | | | | | |
|--|--|--|---|-----------------------|---|-----------------------|--|--|--|--|
| (Last) (First) (Middle) 20374 SENECA MEADOWS PARKWAY | | | 3. Date of Earliest Transaction (Month/Day/Year) 08/13/2013 | | Officer (give title below) | Other (specify below) | | | | |
| (Street) GERMANTOWN MD 20876 (City) (State) (Zip) | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | Form filed by One Rep | or Joint/Group Filing (Check Applicable rm filed by One Reporting Person rm filed by More than One Reporting rrson | | | | | |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | |

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | | | 4. Securities A Disposed Of (I | | | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--|---|------|---|-----------------------------------|---------------|--------------------|---|---|---|
| | | | Code | v | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | (1150.4) |
| Common Stock | 08/13/2013 | | С | | 57,142 ⁽¹⁾ | A | \$ <mark>0</mark> | 61,221 | D | |
| Common Stock | 08/13/2013 | | С | | 3,204 ⁽³⁾ | A | \$ <mark>16</mark> | 64,425 | D | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transa Code (8) | | Deri Sec Acq or D of ([| umber of vative urities uired (A) visposed D) (Instr. and 5) | 6. Date Exerc Expiration Da (Month/Day/Y | ate | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---|---|--|---|------------------------------|---|-------------------------------------|--|--|--------------------|---|--|---|--|--|--|
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | Transaction(s) (Instr. 4) | | |
| Series E Convertible Preferred Stock | (2) | 08/13/2013 | | С | | | 100,000 | (2) | (2) | Common Stock | 57,142 | (2) | 0 | D | |
| Series E Convertible Preferred Stock Dividend | \$16 ⁽³⁾ | 08/13/2013 | | С | | | 0 ⁽³⁾ | (3) | (3) | Common Stock ⁽³⁾ | 3,204 | \$16 ⁽³⁾ | 0 | D | |

Explanation of Responses:

1. The indicated shares represent the number of shares received upon conversion of the Issuer's Convertible Preferred Stock, indicated by Series in Table II.

2. Effective upon the closing of the Issuer's firm commitment underwritten initial public offering of its Common Stock, the shares of Convertible Preferred Stock automatically converted at a ratio of 1.75-to-1 into the number of shares of Common Stock shown in column 7. The shares of Convertible Preferred Stock had no expiration date.

3. Effective upon the closing of the Issuer's firm commitment underwritten initial public offering all accrued dividends with respect to the shares of Convertible Preferred Stock were converted into shares of Common Stock at the then current fair market value, or \$16.00 per share. Payment for partial shares was issued in the form of a monetary refund by the Issuer.

Remarks:

<u>/s/ Larry D. Horner</u>

** Signature of Reporting Person

08/15/2013 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.