FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPROVAL             |           |  |  |  |  |  |  |  |  |
|--------------------------|-----------|--|--|--|--|--|--|--|--|
| OMB Number:              | 3235-0287 |  |  |  |  |  |  |  |  |
| Estimated average burden |           |  |  |  |  |  |  |  |  |
| hours per response:      | 0.5       |  |  |  |  |  |  |  |  |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

|  |   |  |   |                     |                |  |  |           |              |                                 | _     |   |   |               |  |  |   |                                     |  |  |
|--|---|--|---|---------------------|----------------|--|--|-----------|--------------|---------------------------------|-------|---|---|---------------|--|--|---|-------------------------------------|--|--|
| Name and Address of Reporting Person*     Perez Jeffrey Thomas |   |  |   |                     |                | 2. Issuer Name and Ticker or Trading Symbol PRECIGEN, INC. [ PGEN ]  |  |           |              |                                 |       |   |   |               |  | 5. Relationship of Reporting Person(s) to Issue<br>(Check all applicable)<br>Director 10% Owne |   |                                     |  |  |
| (Last)<br>20374 SI   | t) (First) (Middle)<br>74 SENECA MEADOWS PARKWAY                      |  |   |                     |                | Date o<br>/09/2  |  | est Trans | saction      | on (Mon                         | ith/D | ay/Year)  |   |               | Officer (give title below)             |  | Other (s<br>below)<br>airs  | specify                             |  |  |
| (Street) GERMANTOWN MD 20876                                   |   |  |   |                     | 4. 11          | 4. If Amendment, Date of Original Filed (Month/Day/Year)  6. Individual or Joint/Group Filing (Check App Line)  X Form filed by One Reporting Person  Form filed by More than One Report Person                  |  |           |              |                                 |       |   |   |               |  |  | n   |                                     |  |  |
| (City) (State) (Zip)   |   |  |   |                     | Rı             | Rule 10b5-1(c) Transaction Indication  |  |           |              |                                 |       |   |   |               |  |  |   |                                     |  |  |
|  |   |  |   |                     | X              | Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. |  |           |              |                                 |       |   |   |               |  |  |   |                                     |  |  |
|  |   | Tab  | le I - Nor  | า-Deriv             | ative          | e Se   | curit  | ies Ac    | quir         | red, D                          | Disp  | osed o  | f, o  | r Ben         | eficial                                | y Owned  |   |                                     |  |  |
| 1. Title of Security (Instr. 3)  2. Transc Date (Month/L       |   |  |   |                     | Day/Year) if a |  | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year)  |           | , Tr         | Transaction Dis                 |       | 4. Securities Acquired (A<br>Disposed Of (D) (Instr. 3,<br>5) |   |               | Securitie<br>Beneficia<br>Owned F      | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported                      |   | n: Direct<br>r Indirect<br>istr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4)        |  |
|  |   |  |   |                     |                |  |  |           | C            | Code                            | v     | Amount  |   | (A) or<br>(D) | Price                                  | Transact<br>(Instr. 3  | ion(s)  |                                     |  | (111341. 4)  |
| Common Stock   |   |  |   | 08/09               | 08/09/2023     |  |  |           |              | M                               |       | 18,878  |   | A             | (1)                                    | 447  | 447,735   |                                     | D  |  |
| Common Stock   |   |  |   | 08/10               | 08/10/2023     |  |  |           |              | S <sup>(2)</sup>                |       | 8,956   |   | D             | \$1.2                                  | 1 438  | 8,779   |                                     | D  |  |
|  |   | ٦  | Гable II -  |                     |                |  |  |           |              |                                 | •     | sed of,<br>onvertil   |   |               | -                                      | Owned  |   |                                     |  |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)            | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Da<br>if any<br>(Month/Day/\) | oate, Trans<br>Code |                |  | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D) (Instr.<br>3, 4 and 5) |           | Expir        | ate Exe<br>iration I<br>nth/Day | Date  |   | 7. Title and Am<br>of Securities<br>Underlying<br>Derivative Secu<br>(Instr. 3 and 4) |               | s<br>Security                          | 8. Price of<br>Derivative<br>Security<br>(Instr. 5)  | 9. Number<br>derivative<br>Securities<br>Beneficial<br>Owned<br>Following<br>Reported<br>Transactio<br>(Instr. 4) | e<br>s<br>Illy                      | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|  |   |  |   |                     | Code           | v  | (A)  | (D)       | Date<br>Exer | e<br>rcisable                   |       | xpiration<br>ate  | Title   |               | Amount<br>or<br>Number<br>of<br>Shares |  |   |                                     |  |  |
| Restricted   | (1)   | 08/09/2023                                 |   |                     | M              |  |  | 18,878    |              | (3)                             |       | (3)   |   | nmon          | 18,878                                 | \$0  | 37,75   | 6                                   | D  |  |

## **Explanation of Responses:**

- 1. Each restricted stock unit ("RSU") represents a contingent right to receive one share of Precigen common stock.
- 2. Represents the number of shares required to be sold by the Reporting Person to cover tax withholding obligations in connection with the vesting of RSUs pursuant to the terms of a 10b5-1 plan adopted by the reporting person. The sale was effected through an automatic "sell to cover" transaction that did not represent a discretionary trade by the reporting person.
- 3. Represents 1/6 of the RSUs granted on March 24, 2023 that vested on August 9, 2023. The remaining RSUs vest in two equal installments on each of August 16, 2023 and August 23, 2023.

/s/ Jeffrey T. Perez, by Donald P. Lehr, as attorney-in-fact

08/11/2023

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.