SEC Form 4	
------------	--

### FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPRC	VAL					
OMB Number:	3235-0287					
Estimated average burden						
hours per response:	0.5					

1. Name and Address of Reporting Person <sup>*</sup> <u>KIRK RANDAL J</u>			2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>ZIOPHARM ONCOLOGY INC</u> [ ZIOP ]		ationship of Reporting Pe ( all applicable) Director	erson(s) to Issuer 10% Owner		
					Officer (give title	Other (specify		
(Last) C/O THIRD SE 1881 GROVE /		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 09/30/2016		below)	below)		
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable				
(Street) RADFORD (City)	VA (State)	24141 (Zip)		Line)	Form filed by One Re Form filed by More th Person			

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

		1	,		,	-				
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.					5. Amount of Securities Beneficially Owned Following Reported	Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of E		Expiration Dat (Month/Day/Ye or sposed (D) 51, 3, 4		ate Amount of		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Series 1 Preferred Stock	(1)	09/30/2016		J		1,020		(1)	(1)	Common Stock	(1)	(2)	103,063 <sup>(2)</sup>	Ι	by Intrexon <sup>(3)</sup>

1. Name and Address of Reporting Person\*

## KIRK RANDAL J

(Last)	Last) (First) (Middle)									
C/O THIRD SECURITY, LLC										
1881 GROVE AVENUE										
(Street)										
RADFORD	VA	24141								
(City)	(State)	(Zip)								
1. Name and Address of Reporting Person*										
INTREXON CO	<u>DRP</u>									
(Last)	(First)	(Middle)								
C/O LEGAL DEPA	RTMENT									
20374 SENECA MEADOWS PARKWAY										
(Street)										
GERMANTOWN	MD	20876								
(City)	(State)	(Zip)								

#### Explanation of Responses:

1. Each share of Series 1 Preferred Stock ("Preferred Stock") has a stated value of \$1,200, subject to appropriate adjustment in the event of any stock dividend, stock split, combination or other recapitalization with respect to the shares of Preferred Stock. The shares of Preferred Stock shall automatically convert into shares of the issuer's common stock upon the date of commercialization of a product pursuant to the collaboration between Intrexon Corporation ("Intrexon") and the issuer, subject to conversion limitations set forth in the Securities Issuance Agreement"). The conversion price in connection with such automatic conversion shall be determined as set forth in the Amended and Restated Certificate of Designation, Preferences and Rights of Series 1 Preferred Stock, which the issuer has filed as an exhibit to its Amendment to Current Report on Form 8-K/A, dated July 1, 2016.

2. Intrexon received the shares of Preferred Stock as payment-in-kind dividends in connection with its ownership of shares of Preferred Stock. The holders of Preferred Stock are entitled to receive a monthly

dividend payable in additional shares of Preferred Stock equal to \$12.00 per share of Preferred Stock per month (subject to adjustment), divided by the stated value of \$1,200, rounded down to the nearest whole share.

3. Randal J. Kirk, directly and through certain affiliates, has voting and dispositive power over a majority of the outstanding capital stock of Intrexon. Mr. Kirk may therefore be deemed to have voting and dispositive power over the shares of the issuer owned by Intrexon. Shares held by Intrexon may be deemed to be indirectly beneficially owned (as defined under Rule 13d-3 promulgated under the Securities Exchange Act of 1934, as amended) by Mr. Kirk. Mr. Kirk disclaims beneficial ownership of such shares, except to the extent of any pecuniary interest therein.

# /s/ Randal J. Kirk 10/04/2016 /s/ Randal J. Kirk, CEO of Intrexon Corporation 10/04/2016 \*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.