| SEC Form 4 | |
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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden

| Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 |
|--|
| or Section 30(b) of the Investment Company Act of 1940 |

| Check this box if n to Section 16. For obligations may co Instruction 1(b). | m 4 or Form 5 | - | NT OF CHANGES IN BENEFICIAL OWNERSHIP Ad pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | | |
|--|--|----------|--|---|------------------|-----------------------------|--|--|--|--|--|--|
| 1. Name and Address <u>KIRK RAND</u> | 1 0 | on* | 2. Issuer Name and Ticker or Trading Symbol <u>PRECIGEN, INC.</u> [PGEN] | 5. Relationship of (Check all applical X Director | | n(s) to Issuer 10% Owner | | | | | | |
| | | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) 12/28/2023 | Officer (g below) | ive title | Other (specify below) | | | | | | |
| C/O THIRD SECURITY, LLC 1881 GROVE AVENUE | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | 6. Individual or Joi Line) X Form filed | Check Applicable | | | | | | | |
| (Street) RADFORD | VA | 24141 | | Form file Person | d by More than (| One Reporting | | | | | | |
| | | | Rule 10b5-1(c) Transaction Indication | | | | | | | | | |
| (City) | (State) | (Zip) | Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. | | | | | | | | | |
| | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | | |

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transa Code (8) | action Instr. | 4. Securities Disposed Of 5) | Acquire f (D) (Inst | d (A) or r. 3, 4 and | 5. Amount of Securities Beneficially Owned Following | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--|---|------------------------------|------------------|------------------------------------|------------------------|-------------------------|---|---|---|
| | | | Code | v | Amount | (A) or (D) | Price | Reported Transaction(s) (Instr. 3 and 4) | | |
| Common Stock | 12/28/2023 | | Р | | 96,686 | A | \$1.41 ⁽¹⁾ | 1,096,686 | Ι | by Parkview 2020 ⁽²⁾ |
| Common Stock | | | | | | | | 31,837,786 | I | by R.J. Kirk DOT ⁽²⁾ |
| Common Stock | | | | | | | | 490,401 | I | by JPK 2008 ⁽²⁾ |
| Common Stock | | | | | | | | 3,703,398 | I | by JPK 2009 ⁽²⁾ |
| Common Stock | | | | | | | | 1,654,363 | I | by JPK 2012 ⁽²⁾ |
| Common Stock | | | | | | | | 489,438 | I | by MGK 2008 ⁽²⁾ |
| Common Stock | | | | | | | | 3,944,437 | Ι | by MGK 2009 ⁽²⁾ |
| Common Stock | | | | | | | | 1,637,040 | I | by MGK 2011 ⁽²⁾ |
| Common Stock | | | | | | | | 578,079 | Ι | by ZSK 2008 ⁽²⁾ |
| Common Stock | | | | | | | | 351,120 | I | by ZSK 2009 ⁽²⁾ |
| Common Stock | | | | | | | | 453,731 | I | by Kellie L. Banks LTT ⁽²⁾ |
| Common Stock | | | | | | | | 1,015,604 | I | by Sr. Staff 2015 ⁽²⁾ |
| Common Stock | | | | | | | | 1,015,626 | I | by Staff 2015 ⁽²⁾ |
| Common Stock | | | | | | | | 13,520,789 | I | by Kapital Joe ⁽²⁾ |
| Common Stock | | | | | | | | 1,144,481 | I | by Spouse |
| Common Stock | | | | | | | | 1,403 | Ι | by Lotus ⁽²⁾ |

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transa Code (8) | | 4. Securitie Disposed (5) | s Acquire Of (D) (Inst | d (A) or r. 3, 4 and | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature o Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--|---|------------------------------|---|----------------------------------|---------------------------|-------------------------|---|---|--|
| | | | Code | v | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | (1150.4) |
| Common Stock | | | | | | | | 16,406,828 | I | by Sunset 2020 ⁽²⁾ |
| Common Stock | | | | | | | | 1,839,946 | Ι | by Staff 2010 ⁽³⁾ |
| Common Stock | | | | | | | | 1,383,858 | Ι | by Staff 2009 ⁽³⁾ |
| Common Stock | | | | | | | | 3,223,803 | Ι | by Sr. Staff 2008 ⁽³⁾ |
| Common Stock | | | | | | | | 691,929 | I | by Incentive 2009 ⁽³⁾ |
| Common Stock | | | | | | | | 1,384,408 | Ι | by Incentive 2010 ⁽³⁾ |
| Common Stock | | | | | | | | 311,287 | Ι | by Staff 2001 ⁽³⁾ |
| Common Stock | | | | | | | | 58,800 | I | by Sr. Staff ⁽³⁾ |
| Common Stock | | | | | | | | 59,133 | Ι | by Staff 2006 ⁽³⁾ |
| Common Stock | | | | | | | | 118,266 | Ι | by Sr. Staff 2006 ⁽³⁾ |
| Common Stock | | | | | | | | 19,711 | I | by Incentive 2006 ⁽³⁾ |
| Common Stock | | | | | | | | 4,995,000 | I | by Sr. Staff 2007 ⁽³⁾ |
| Common Stock | | | | | | | | 2,497,500 | Ι | by Staff 2007 ⁽³⁾ |
| Common Stock | | | | | | | | 832,500 | Ι | by Incentive 2007 ⁽³⁾ |

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | | of | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | |
|---|---|--|---|---|---|-----|-----|--|--------------------|---|--|---|--|--|--|--|
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | | |

Explanation of Responses:

1. On December 28, 2023, Parkview 2020 Limited Partnership ("Parkview 2020"), entered into a Stock Purchase Agreement with Jeffrey Kindler, a Director of the issuer, pursuant to which Parkview 2020 purchased from Mr. Kindler 96,686 shares of common stock of the issuer for an aggregate purchase price of \$1.41, which purchase price was calculated based upon the closing price of the issuer's common stock on December 28, 2023.

2. Randal J. Kirk controls each of Parkview 2020, R.J. Kirk Declaration of Trust ("R.J. Kirk DOT"), JPK 2008 LLC ("JPK 2008"), JPK 2009 LLC ("JPK 2009"), JPK 2012 LLC ("JPK 2012"), MGK 2008 LLC ("MGK 2008"), MGK 2009"), MGK 2009 LLC ("MGK 2009"), MGK 2001 LLC ("MGK 2011"), ZSK 2008 LLC ("ZSK 2008"), ZSK 2009 LLC ("ZSK 2009"), Kellie L. Banks (2009) Long Term Trust ("Kellie L. Banks LTI"), Third Security Senior Staff 2015 LLC ("Sr. Staff 2015"), Third Security Staff 2015 LLC ("Staff 2015"), Kapital Joe, LLC ("Kapital Joe"), Lotus Capital (2000) Company, Inc. ("Lotus"), and Sunset 2020 LLC ("Sunset 2020"). Shares held by these entities may be deemed to be beneficially owned (as defined under Rule 13d-3 promulgated under the Securities Exchange Act of 1934, as amended) by Mr. Kirk. Mr. Kirk disclaims beneficial ownership of such shares, except to the extent of any pecuniary interest therein.

3. Randal J. Kirk controls each of Third Security Staff 2010 LLC ("Staff 2010"), Third Security Staff 2009 LLC ("Staff 2009"), Third Security Staff 2008 LLC ("Sr. Staff 2008"), Third Security Incentive 2009 LLC ("Incentive 2009"), Third Security Incentive 2010"), Third Security Staff 2001 LLC ("Staff 2001"), Third Security Senior Staff LLC ("Sr. Staff 2007"), Third Security Staff 2006 LLC ("Staff 2006"), Third Security Senior Staff 2006 LLC ("Sr. Staff 2006"), Third Security Senior Staff 2006 LLC ("Sr. Staff 2006"), Third Security Senior Staff 2006 LLC ("Sr. Staff 2006"), Third Security Senior Staff 2007"), Third Security Senior Staff 2006 LLC ("Sr. Staff 2006"), Third Security Senior Staff 2007"), Third Security Senior Staff 2006 LLC ("Sr. Staff 2007"), Third Security Senior Staff 2007"), and Third Security Incentive 2006 LLC (incentive 2007"). Shares held by these entities may be deemed to be beneficially owned (as defined under Rule 13d-3 promulgated under the Securities Exchange Act of 1934, as amended) by Mr. Kirk. Mr. Kirk disclaims beneficial ownership of such shares, except to the extent of any pecuniary interest therein

/s/ Randal J. Kirk

12/29/2023

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.