FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNE	RSHIP
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OMB APPROVAL										
OMB Number: 3235-028										
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* Sterling Rick L.						2. Issuer Name and Ticker or Trading Symbol PRECIGEN, INC. [ PGEN ]									(Che	ck all applic Directo	able)	g Pers	on(s) to Issu 10% Ow	/ner
(Last) 20374 SI	,	rst) EADOWS PARK	(Middle)			3. Date of Earliest Transaction (Month/Day/Year) 09/15/2020								_ X	below)	.0	Other (spe below) ncial Officer		pecity	
(Street) GERMA (City)	NTOWN M		20876 (Zip)		4. If								6. Ind Line)							
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																				
Date			2. Trans Date (Month/I		ear)	2A. Deemed Execution Date, if any (Month/Day/Year)		Code (Instr.					S, 4 and Securit Benefic Owned		es ally following	Form (D) o	r Indirect Instr. 4)	7. Nature of Indirect Beneficial Ownership		
								ď	Code	v	Amount	(A (D	or	Price	Reported Transact (Instr. 3	tion(s)		ľ	(Instr. 4)	
Common Stock 09/15					5/2020	0				M		25,00	0	A	(1)	312	,973		D	
Common Stock 09/16/					5/2020			S <sup>(2)</sup>		7,660 D		\$5.22	2 305,313			D				
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned  (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemee Execution I if any (Month/Day	Date, T	ransaction code (Instr.		of		6. Date Exercisa Expiration Date (Month/Day/Year			of Securities		curity	3. Price of Derivative Security Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	ly	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				C	Code	v	(A)	(D)	Date Exe	e ercisable		xpiration ate	Title	or Nu of	ımber					
Restricted Stock Units	(1)	09/15/2020			М			25,000		(3)		(3)	Commo		5,000	\$0	25,000	)	D	

## **Explanation of Responses:**

- 1. Each restricted stock unit ("RSU") represents a contingent right to receive one share of Precigen common stock.
- 2. This sale was effected pursuant to the terms of a 10b5-1 plan adopted by the reporting person and was made in order to pay the tax liability arising from the vesting of RSUs.
- 3. The RSUs were granted on March 23, 2020 and the remaining 25,000 RSUs vest on December 15, 2020.

## Remarks:

/s/ Rick L. Sterling, by Donald P. Lehr, as attorney-in-fact

09/17/2020

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.