SEC Form 4	
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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0287
Estimated average burden
hours per response: 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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1. Name and Address of Reporting Person* SHAPIRO ROBERT B		r Name and Ticker CIGEN, INC.		rmbol	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
						Director	10% C	Dwner		
(Last) (First) (Middle) 20374 SENECA MEADOWS PARKWAY	3. Date 03/08/	of Earliest Transac 2020	tion (Month/D	ay/Year)		Officer (give title below)	Other below	(specify)		
	4. If Am	endment, Date of C	Driginal Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable					
(Street)					Line)					
GERMANTOWN MD 20876					X	Form filed by One Reporting Person				
						Form filed by Moi Person	re than One Rep	orting		
(City) (State) (Zip)						F erson				
Table I - Non-E	Derivative S	ecurities Acqu	iired, Disp	osed of, or Benefi	cially (Owned				
1. Title of Security (Instr. 3) 2. Transaction Date 2. Deemed 3. 4. Securities Acquired (A) or 5. Amount of 6. Ownership 7. N Execution Date Transaction Disposed Of (D) (Instr. 3. 4 and Securities Form: Direct of L										

	Date (Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Transaction Code (Instr. 8)			D) (Instr.	3, 4 and	Securities Beneficially Owned Following Reported	Form: Direct (D) or Indirect (I) (Instr. 4)	of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock	03/08/2020		М		24,703	Α	(1)	92,208	D	
Common Stock								82,966	I	By Trust ⁽²⁾

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Units	(1)	03/08/2020		М			24,703	(3)	(3)	Common Stock	24,703	\$0	0	D	

Explanation of Responses:

1. Each restricted stock unit represents a contingent right to receive one share of XON common stock.

2. Shares held in the Robert B. Shapiro Revocable Trust.

3. The restricted stock units vested in full on March 8, 2020.

Remarks:

<u>/s/ Robert B. Shapiro, by</u> <u>Donald P. Lehr, as attorney-in-</u><u>03/10/2020</u> fact

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.