SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number: 3235-0287								
Estimated average burden								
hours per response:	0.5							

Section obligat	this box if no lo n 16. Form 4 or ions may contir tion 1(b).		STAT		ed pu	rsuant	to Section ion 30(h)	n 16(a	a) of t	he Sec	curitie	es Exchar	ige Act o	WNER 1934	SHI	Ρ	Estim	Numbe ated av per res	erage burder	3235-0287 1 0.5		
1. Name and Address of Reporting Person* Sabzevari Helen						2. Issuer Name and Ticker or Trading Symbol <u>PRECIGEN, INC.</u> [PGEN]										5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner						
(Last) (First) (Middle) 20374 SENECA MEADOWS PARKWAY						3. Date of Earliest Transaction (Month/Day/Year) 03/23/2020										X Officer (give title Other (specify below) below) President and CEO						
(Street) GERMA (City)	NTOWN M	ЛD tate)	20876 (Zip)		- 4.	4. If Amendment, Date of Original Filed (Month/Day/Year)									. Indivio ine) X	•						
		Та	ble I - Noi	n-Deriv	vativ	/e Se	curities	s Ac	riup:	red, C	Disp	oosed c	of, or B	eneficia	lly O	wned						
1. Title of Security (Instr. 3) 2. Trans. Date (Month/I						(ear)	2A. Deemed Execution Date, if any (Month/Day/Yea		́ c	ransaci Code (In	tion Disposed		ities Acquired (A) d Of (D) (Instr. 3, 4				ly	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)		
									c	ode	v	Amount	nt (A) or P (D)		ר ,	Transaction(s) (Instr. 3 and 4)				(11501 4)		
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																					
Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution D if any (Month/Day/	ate, Ti C	Transaction Code (Instr.		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable a Expiration Date (Month/Day/Year)				of Secu Underly	ing ve Security	Derivative Security		9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)		
				c	Code	v	(A)	(D)	Date Exer	e rcisable		xpiration	Title	Amount or Number of Share	.		(Instr. 4)	1011(3)				
Restricted Stock Units	(1)	03/23/2020			A		500,000			(2)		(2) Common Stock		ⁿ 500,00	00	\$0	500,000		D			
Explanatio	n of Respons	ses:																				

1. Each restricted stock unit ("RSU") represents a contingent right to receive one share of Precigen common stock.

2. The RSUs vest in full on May 14, 2020.

Remarks:

/s/ Helen Sabzevari, by Donald

03/23/2020

P. Lehr, as attorney-in-fact ** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.