FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Vashington,	D.C. 20549	
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STATEMENT OF	CHANGES IN	BENEFICIAL	OWNERSHIP

OMB APPROVAL										
OMB Number:	3235-0287									
Estimated average burden										
hours per response:	0.5									

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 Instruction 1(b)

Name and Address of Reporting Person*     Shah Rutul R						2. Issuer Name and Ticker or Trading Symbol PRECIGEN, INC. [ PGEN ]									5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director 10% Owner					
(Last) 20374 SI	•	rst) EADOWS PARK	(Middle)			3. Date of Earliest Transaction (Month/Day/Year) 08/23/2023							7	X Officer (give title below) Other (specify below)  Chief Operating Officer						
				- 4. l	4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable Line)							
(Street) GERMANTOWN MD 20876				_	X Form filed by One Reporting Person Form filed by More than One Reporting Person															
(City)	(SI	ate)	(Zip)		Rı	Rule 10b5-1(c) Transaction Indication														
					X						action was i				ract, instruction 10.	on or written	plan th	hat is intende	d to	
		Tab	le I - Nor	n-Deriv	vativ	e Se	curit	ies Ac	quired	, Dis	posed c	f, or I	3en	eficiall	y Owned					
Date				Execution D Day/Year) if any		Execution Date, if any (Month/Day/Year)  Transaction Code (Instr. 98)		Dispose	4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4 5)			5. Amou Securitie Beneficie Owned F	es ally Following	Form (D) o	n: Direct r Indirect istr. 4)	7. Nature of Indirect Beneficial Ownership				
										v	Amount	(A (C	) or )	Price	Reported Transact (Instr. 3	ion(s)			(Instr. 4)	
Common Stock 08/23				3/202	3/2023		М		15,48	80 A (1)		138,862			D					
Common	Stock		08/24/20			:3			s <sup>(2)</sup> 5,388 D		D	\$1.64	133,474			D				
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned  (e.g., puts, calls, warrants, options, convertible securities)																			
Derivative Conversion Date		3. Transaction Date (Month/Day/Year)	if any	cution Date,		ection Instr.	ı of		Expirati	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amour of Securities Underlying Derivative Security (Instr. 3 and 4)		ecurity	8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securities Beneficial Owned Following Reported Transactio (Instr. 4)	e S Illy	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
					Code	v	(A)	(D)	Date Exercisa		Expiration Date	Title	N C	Amount or Number of Shares						
Restricted Stock	(1)	08/23/2023			M			15,480	(3)		(3)	Comm Stock		15,480	\$0	0		D		

## **Explanation of Responses:**

- 1. Each restricted stock unit ("RSU") represents a contingent right to receive one share of Precigen common stock.
- 2. Represents the number of shares required to be sold by the Reporting Person to cover tax withholding obligations in connection with the vesting of RSUs pursuant to the terms of a 10b5-1 plan adopted by the reporting person. The sale was effected through an automatic "sell to cover" transaction that did not represent a discretionary trade by the reporting person.
- $3. \ Represents\ 1/6\ of\ the\ RSUs\ granted\ on\ March\ 24,\ 2023\ that\ vested\ on\ August\ 23,\ 2023.$

/s/ Rutul R. Shah, by Donald P. Lehr, as attorney-in-fact

08/25/2023

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.