FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| Washington,  | D.C. | 20549 |
|--------------|------|-------|
| vvasimigton, | D.C. | 20040 |

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPROVAL        |           |  |  |  |  |  |  |  |  |
|---------------------|-----------|--|--|--|--|--|--|--|--|
| OMB Number:         | 3235-0287 |  |  |  |  |  |  |  |  |
| Estimated average b | urden     |  |  |  |  |  |  |  |  |
| hours per response: | 0.5       |  |  |  |  |  |  |  |  |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person*  Hassan Fred            |  |  |             |                                     | 2. Issuer Name and Ticker or Trading Symbol PRECIGEN, INC. [ PGEN ]  5. Relationship of Reporting Person(s) to Issuer (Check all applicable) |                 |                   |      |   |  |   |   |  |                                       |   |   |   |  |  |  |
|---|--|--|-------------|-------------------------------------|--|-----------------|-------------------|------|---|--|---|---|--|---------------------------------------|---|---|---|--|--|--|
| 11033011 1 1CU  |  |  |             |                                     |  |                 |                   |      |   |  |   |   |  | 2                                     | X Director  |   |   | 10% Owner  |  |  |
| (Last) 20374 S  | ,  | irst)<br>EADOWS PARF                                     | (Middle)    |                                     | 3. Date of Earliest Transaction (Month/Day/Year) 02/22/2022  |                 |                   |      |   |  |   |   |  |                                       | Officer<br>below)                                   | Other (s<br>below)  | pecify  |  |  |  |
|   |  |  |             |                                     |  |                 |                   |      |   |  |   |   | 6 Individual or Joint/Croup Filips (Check Applicable |                                       |   |   |   |  |  |  |
|   | -   4. 1   | 4. If Amendment, Date of Original Filed (Month/Day/Year) |             |                                     |  |                 |                   |      |   |  | 6. Individual or Joint/Group Filing (Check Applicable Line) |   |  |                                       |   |   |   |  |  |  |
| (Street)  |  |  |             |                                     |  |                 |                   |      |   |  |   |   |  |                                       | X Form filed by One Reporting Person                |   |   |  |  |  |
| GERMANTOWN MD 20876   |  |  |             |                                     |  |                 |                   |      |   |  |   |   |  | Form filed by More than One Reporting |   |   |   |  |  |  |
| ,   |  |  |             |                                     | -  |                 |                   |      |   |  |   |   |  |                                       | Persor  |   |   |  | 9                                      |  |
| (City)  | City) (State) (Zip)  |  |             |                                     |  |                 |                   |      |   |  |   |   |  |                                       |   |   |   |  |  |  |
|   |  | Tak  | ole I - Nor | n-Deriv                             | vativ  | e Se            | curities          | s Ac | quired,   | Dis                                      | posed o   | f, or I   | 3ene   | ficiall                               | y Owned   |   |   |  |  |  |
| 1. Title of Security (Instr. 3)  2. Transplate Date           |  |  |             |                                     |  | Execution Date, |                   |      |   | Transaction Disposed Of (D) (Instr. 3, 4 |   |   |  |                                       | and Securities                                      |   | 6. Ownership<br>Form: Direct<br>(D) or Indirect |  | 7. Nature<br>of Indirect<br>Beneficial |  |
|   |  |  | Dayrie      | ay/Year) if any<br>(Month/Day/Year) |  |                 | Code (Instr.   5) |      |   |  |   |   | Beneficially<br>Owned Following                      |                                       |   | str. 4)   | Ownership                                       |  |  |  |
|   |  |  |             |                                     | Code   | v               | Amount (A) or (D) |      | Price   | Reported<br>Transact<br>(Instr. 3        | ion(s)  | on(s)<br>nd 4)  |  | (Instr. 4)                            |   |   |   |  |  |  |
| Common Stock 02/2   |  |  |             | 2/202                               | 2 A 27,832 A \$2   |                 | \$2.03(           | 182  | 182,519   |  | D   |   |  |                                       |   |   |   |  |  |  |
|   | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |  |             |                                     |  |                 |                   |      |   |  |   |   |  |                                       |   |   |   |  |  |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)           | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security  | 3. Transaction<br>Date<br>(Month/Day/Year)               | Execution   | Date,                               | 4.<br>Transa<br>Code (<br>8)   |                 | of                |      | 6. Date Exercis:<br>Expiration Date<br>(Month/Day/Yea |  | •   | 7. Title and Am<br>of Securities<br>Underlying<br>Derivative Secu<br>(Instr. 3 and 4) |  | curity                                | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number<br>derivative<br>Securities<br>Beneficial<br>Owned<br>Following<br>Reported<br>Transactio<br>(Instr. 4) | ly  | Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4 | Beneficial<br>Ownership<br>(Instr. 4)  |  |
|   |  |  |             |                                     | Code   | v               | (A)               | (D)  | Date<br>Exercisal:                                    |  | Expiration<br>Date  | Title   | OI<br>No<br>Of                                       | umber                                 |   |   |   |  |  |  |
| Restricted<br>Stock<br>Units                                  | (2)  | 02/22/2022   |             |                                     | A  |                 | 61,576            |      | (3)   |  | (3)   | Comm  |  | 1,576                                 | \$0   | 61,576  | 5   | D  |  |  |
| Option to<br>Purchase<br>Common<br>Stock<br>(Right to<br>Buy) | \$2.03   | 02/22/2022   |             |                                     | A  |                 | 83,189            |      | 02/22/202   | 22 (                                     | 02/22/2032  | Comm<br>Stock   |  | 3,189                                 | \$0   | 83,189  | )   | D  |  |  |

## Explanation of Responses:

- 1. The shares were issued to the reporting person in lieu of an annual retainer of \$56,500.
- $2. \ Each \ restricted \ stock \ unit \ ('RSU'') \ represents \ a \ contingent \ right \ to \ receive \ one \ share \ of \ Precigen \ common \ stock.$
- 3. The RSUs vest in full on February 22, 2023.

/s/ Fred Hassan, by Donald P. Lehr, as attorney-in-fact

02/24/2022

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.