# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person*     Ares Trading S.A.				2. Issuer Name and Ticker or Trading Symbol PRECIGEN, INC. [ PGEN ]								Relationship of Reporting Person(s) to Issuer (Check all applicable)     Director				
e)	3. Date of Earliest Transaction (Month/Day/Year) 08/26/2021											Other ( below)	specify			
ZONE INDUSTRIELLE DE L'OURIETTAZ				4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable				
										X	Form	filed by Mo		Ü		
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																
Date		Year) if any		tion Date,					l and 5) Securi Benefi Owned		ties cially I Following	Form: (D) or	Direct Indirect str. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
					Code	v	Amount	(A) o (D)	r Prid	Transa		ction(s)			(111301.4)	
08/26/20	021				S <sup>(1)</sup>		111,229	D	\$5	5.947	21,758,923			D		
08/27/20	08/27/2021				S <sup>(1)</sup>		91,600	D	\$5.9817		7 21,667,323			D		
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																
vative Conversion Date Execution Date, Ti urity or Exercise (Month/Day/Year) if any C		Fransaction of Code (Instr. B) Se Ac (A) Dis		vative crities crired r osed ) r. 3, 4	Expiration D		ate	Amoun		Der Sec (Ins	ivative curity	derivative Securities Beneficially Owned Following Reported	Ownersh Form: Direct (D or Indire (I) (Instr.	Ownership Form: Direct (D) or Indirect	Beneficial Ownership t (Instr. 4)	
	Code	v	(A)	(D)	Date Exerci	sable	Expiration Date	Title	of							
	2. Transacti Date (Month/Day)  08/26/20  08/27/20  II - Derivati (e.g., pu	e) 3. Dic 08/2 TAZ 4. If  Non-Derivative 3 2. Transaction Date (Month/Day/Year)  08/26/2021 08/27/2021 III - Derivative So (e.g., puts, c. Deemed cution Date, ny nth/Day/Year)  Deemed 7 Transaction Code 8)	e)  3. Date of E 08/26/202  TAZ  4. If Amends  2. Transaction Date (Month/Day/Year)  08/26/2021  08/27/2021  II - Derivative Securit (e.g., puts, calls, v Deemed cution Date, ny nth/Day/Year)  4. Transaction Code (Instr. 8)	e)  Non-Derivative Securities  2. Transaction Date (Month/Day/Year)  08/26/2021  2. Transaction Execution Diff any (Month/Day/ Month/Day/ Month/Day/  08/27/2021  II - Derivative Securities (e.g., puts, calls, warra (e.g., puts, calls, warra (b.g., puts, calls, puts, calls, warra (b.g., puts, calls, warra (b.g., puts, calls, warra (b.g., puts, calls, puts	e)  3. Date of Earliest Trans 08/26/2021  4. If Amendment, Date of Execution Date (Month/Day/Year)  08/26/2021  2. Transaction Date (Month/Day/Year)  08/26/2021  08/27/2021  II - Derivative Securities Acque (e.g., puts, calls, warrants, of Deemed Cution Date, of Code (Instr. 8)  Deemed Cution Date, of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	e)  PRECIGEN, INC. [P  3. Date of Earliest Transaction (08/26/2021  4. If Amendment, Date of Origin  Amendment, Date of Origin  2. Transaction Date (Month/Day/Year)  3. Transaccion Date (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  3. Transaccion Date (Month/Day/Year)  3. Transaccion Date (Month/Day/Year)  5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Month/Day/Year)  8)  Deemed Cution Date, (Month/Day/Year)  1. Derivative Securities Acquired (Month/Day/Year)  1. Derivative Securities Acquired (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  3. Transaccion Date (Month/Day/Year)  4. If Amendment, Date of Origin  5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Month/Day/Year)  4. If Amendment, Date of Origin  5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Month/Day/Year)  8)  Date	e)  3. Date of Earliest Transaction (Month 08/26/2021  4. If Amendment, Date of Original File Execution Date (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  3. Transaction Code (Instr. 8)  Code V  08/26/2021  8(1)  1. Derivative Securities Acquired, Disp (e.g., puts, calls, warrants, options,	PRECIGEN, INC. [ PGEN ]  3. Date of Earliest Transaction (Month/Day/Year) 08/26/2021  4. If Amendment, Date of Original Filed (Month/Day/Year)  4. If Amendment, Date of Original Filed (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  2. Transaction Date (Instr. 8)  2. Transaction Code (Instr. 8)  Code V Amount  8. S(1)  111,229  11- Derivative Securities Acquired, Disposed of (Poly (Instr. 3))  11- Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3) (Instr. 3, 4) (Inst	e)  3. Date of Earliest Transaction (Month/Day/Year) 08/26/2021  4. If Amendment, Date of Original Filed (Month/Day/Year)  4. If Amendment, Date of Original Filed (Month/Day/Year)  4. If Amendment, Date of Original Filed (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  2. Transaction Code (Instr. 8)  2. Transaction (Month/Day/Year)  2. Transaction Code (Instr. 8)  3. Transaction Code (Instr. 8)  2. Transaction Code (Instr. 8)  3. Transaction Code (Instr. 8)  3. Transaction Code (Instr. 8)  2. Transaction Code (Instr. 8)  3. Transaction Code (Instr. 8)  3. Transaction Code (Instr. 8)  3. Transaction Code (Instr. 8)  4. Securities Acquired (A) or Disposed of, Or Be (e.g., puts, calls, warrants, options, convertible securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  4. Securities Acquired (A) or Disposed of, Or Be (C.g., puts, calls, warrants, options, convertible securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  Date Expiration Date Expiration Date (Month/Day/Year)	PRECIGEN, INC. [ PGEN ]  3. Date of Earliest Transaction (Month/Day/Year)  08/26/2021  4. If Amendment, Date of Original Filed (Month/Day/Year)  4. If Amendment, Date of Original Filed (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  2. Transaction (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  2. Transaction Code (Instr. 8)  2. Transaction Code (Instr. 8)  2. Transaction Code (Instr. 8)  2. Transaction (Month/Day/Year)  3. Transaction Code (Instr. 8)  3. Transaction Code (Instr. 8)  2. Transaction (Month/Day/Year)  3. Transaction Code (Instr. 8)  3. Transaction Code (Instr. 8)  3. Transaction Code (Instr. 8)  4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 4)  4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	e)  3. Date of Earliest Transaction (Month/Day/Year)  08/26/2021  4. If Amendment, Date of Original Filed (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  2. Transaction Code (Instr. 8)  Code V Amount (A) or (D) Price  08/26/2021  8. (1) 111,229 D \$5.947  08/27/2021  8. (1) 91,600 D \$5.9817  II - Derivative Securities Acquired, Disposed of, or Beneficially (e.g., puts, calls, warrants, options, convertible securities)  Deemed Cution Date, 17 ansaction Code (Instr. 8)  Deemed Cution Date, 17 ansaction Code (Instr. 8)  Deemed Cution Date, 18 (Instr. 18)  Deemed Cution Date, 19 (Instr. 3, 4 and 5)  Deemed Cution Date, 19 (Instr. 3, 4 and 5)  Deemed Cution Date, 19 (Instr. 3, 4 and 5)  Deemed Cution Date, 19 (Instr. 3, 4 and 5)  Deemed Cution Date, 19 (Instr. 3, 4 and 5)  Deemed Cution Date, 19 (Instr. 3, 4 and 5)  Deemed Cution Date, 19 (Instr. 3, 4 and 5)  Deemed Cution Date, 19 (Instr. 3, 4 and 5)  Amount of Number of	PRECIGEN, INC. [ PGEN ]  3. Date of Earliest Transaction (Month/Day/Year)  8. If Amendment, Date of Original Filed (Month/Day/Year)  4. If Amendment, Date of Original Filed (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  2. Transaction (Month/Day/Year)  3. Transaction (Code (Instr. 8))  4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)  5. Amo Disposed Of (D) (Instr. 3, 4 and 5)  6. Individual or Line)  X Form Form Person  Code (Instr. 8)  8. Amount (A) or (D) Price (Report Transaction (Instr. 8)  1. Derivative Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)  8. Price of Code (Instr. 8)  1. Derivative Securities Acquired (Disposed Of, or Beneficially Owner (e.g., puts, calls, warrants, options, convertible securities)  1. Demend (A) or Disposed Of, or Beneficially Owner (e.g., puts, calls, warrants, options, convertible securities (Month/Day/Year)  3. Transaction (Code (Instr. 8))  4. Tritle and Amount of Derivative Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)  8. Price Of Amount of Amount of Securities (A) or Disposed Of (D) (Instr. 3, 4 and 4)  8. Price Of Onto Date (Month/Day/Year)  8. Price Of Date Expiration Of Number of Number of Or Disposed Of (D) (Instr. 3, 4 and 4)	PRECIGEN, INC. [ PGEN ]  3. Date of Earliest Transaction (Month/Day/Year)  4. If Amendment, Date of Original Filed (Month/Day/Year)  5. Individual or Joint/Grou Line)  X Form filed by On Form filed by Mo Person  6. Individual or Joint/Grou Line)  X Form filed by On Form filed by Mo Person  6. Individual or Joint/Grou Line)  X Form filed by Mo Person  6. Individual or Joint/Grou Line)  X Form filed by Mo Person  6. Amount of Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)  8. Amount of Securities Acquired (A) or Price (Instr. 3 and 4)  8. Price of Derivative Securities Acquired (A) or Derivative Securities Counties (Month/Day/Year)  8. Price of Derivative Securities Acquired (A) or Derivative Securities (Month/Day/Year)  8. Price of Derivative Securities Acquired (A) or Derivative Securities (Month/Day/Year)  9. Number Order (Instr. 3)  8. Price of Derivative Securities Acquired (A) or Derivative Securities (Month/Day/Year)  10. Date Expiration Date (Month/Day/Year)  11. Date Expiration Date (Month/Day/Year)  12. Transaction (Check all application Date (Month/Day/Year)  13. Transaction (Date (Month/Day/Year))  14. Securities Acquired (A) or Derivative Securities (Month/Day/Year)  15. Number of Month/Day/Year)  16. Individual or Joint/Grou (Date (A) or Derivative Securities)  16. Amount of Securities (Month/Day/Year)  17. Title and Amount or Month (Month/Day/Year)  18. Price of Derivative Securities (M	PRECIGEN, INC. [ PGEN ]  3. Date of Earliest Transaction (Month/Day/Year)  (Check all applicable) Director X Officer (give titte below)  4. If Amendment, Date of Original Filed (Month/Day/Year)  (A. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)  (Month/Day/Year)  (Mon	PRECIGEN, INC. [ PGEN ]  3. Date of Earliest Transaction (Month/Day/Year)  4. If Amendment, Date of Original Filed (Month/Day/Year)  5. Individual or Joint/Group Filing (Check All Line)  X Form filed by One Reporting Pers Form filed by More than One Rep Person  8. Form filed by One Reporting Pers Form filed by More than One Rep Person  8. Form filed by One Reporting Pers Form filed by More than One Rep Person  9. Amount of Disposed Of (D) (Instr. 3, 4 and 5)  10. O8/26/2021  10. O8/26/2021  10. S(1)  10. O8/27/2021  11. Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)  11. Derivative Securities Acquired, Disposed of, or Beneficially Owned (Excurting Derivative Acquired (A) or Disposed Of (D) (Instr. 3)  12. Transaction(S) (Instr. 3)  13. Amount of Securities Securities Securities Code (Instr. 4)  14. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)  15. Amount of Securities Code (Instr. 4)  16. Individual or Joint/Group Filing (Check All Line)  X Form filed by One Reporting (Check All Line)  X 10% Of Officer (give title below)  16. Individual or Joint/Group Filing (Check All Line)  X Form filed by One Reporting (Person Disposed Of (D) (Instr. 3, 4 and 4)  16. Ownership Securities Securities Securities Securities (Poloring Individual or Joint/Group Securities Sec	

1. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by Ares Trading, S.A. on April 29, 2021.

This Form 4 is being filed by each of the following persons (together, the Reporting Persons): Ares Trading SA, the direct beneficial owner of the shares, Merck Serono SA, Aubonne, Switzerland, an affiliate of Merck KGaA, Darmstadt, Germany, an indirect beneficial owner of the shares. Ares Trading SA is a dominantly controlled subsidiary of Merck Serono SA, Aubonne, Switzerland, an affiliate of Merck KGaA, Darmstadt, Germany. Merck Serono SA, Aubonne, Switzerland is a wholly owned I indirect subsidiary of Merck KGaA, Darmstadt, Germany. Merck KGaA, Darmstadt, Germany is a publicly traded company (Frankfurt Stock Exchange, DAX 30) and the beneficiary of the two companies.

/s/ Cedric Hyde, Authorized Signatory of Merck Serono SA	08/30/2021
/s/ Florence Jolidon, Authorized Signatory of Merck Serono SA	08/30/2021
/s/ Cedric Hyde, Authorized Signatory of Ares Trading SA	08/30/2021
/s/ Tearaboth Te, Authorized Signatory of Ares Trading SA	08/30/2021
/s/ Andreas Stickler, Authorized Signatory of Merck KGaA	08/30/2021
/s/ Tobias Greven, Authorized Signatory of Merck KGaA	08/30/2021
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.