FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPRO	OVAL
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Pers KIRK RANDAL J	on [*]	2. Issuer Name and PRECIGEN,					(Che	elationship of Repo eck all applicable) Director	X 109	% Owner
(Last) (First) C/O THIRD SECURITY, LLC	(Middle)	3. Date of Earliest T 12/17/2020	ransacti	on (M	onth/Day/Year)		Officer (give till below)		er (specify ow)	
1881 GROVE AVENUE (Street) RADFORD VA	24141	4. If Amendment, Da	ate of Or	riginal	Filed (Month/Day	/Year)	6. Inc	Form filed by 0	One Reporting F	Person
,								Form filed by I Person	More than One I	Reporting
(City) (State)	(Zip) ole I - Non-Deriva	ative Securities /	Acauir	red.	Disposed of.	or Ber	neficial	lv Owned		
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Ye	2A. Deemed Execution Date,	3. Transa Code (8)	ection	4. Securities Acq Disposed Of (D)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	12/17/202	0	J ⁽¹⁾		13,340,645(1)	D	\$0 ⁽¹⁾	0	I	by NRM VI Holdings ⁽¹⁾
Common Stock	12/17/202	0	J ⁽²⁾		243,001(2)	D	\$0 ⁽²⁾	0	I	by NRM VII Holdings ⁽²⁾
Common Stock	12/17/202	0	J ⁽¹⁾		4,682,816(1)	A	\$0 ⁽¹⁾	18,199,866	I	by R.J. Kirk DOT ⁽³⁾
Common Stock	12/17/202	0	J ⁽²⁾		113,716 ⁽²⁾	A	\$0 ⁽²⁾	18,313,582	I	by R.J. Kirk DOT ⁽³⁾
Common Stock	12/17/202	0	J ⁽¹⁾		1,234,757(1)	A	\$0 ⁽¹⁾	3,521,065	I	by JPK 2009 ⁽³⁾
Common Stock	12/17/202	0	J ⁽²⁾		20,250(2)	A	\$0 ⁽²⁾	3,541,315	I	by JPK 2009 ⁽³⁾
Common Stock	12/17/202	0	J ⁽¹⁾		1,234,757 ⁽¹⁾	A	\$0 ⁽¹⁾	3,762,104	I	by MGK 2009 ⁽³⁾
Common Stock	12/17/202	0	J ⁽²⁾		20,250(2)	A	\$0 ⁽²⁾	3,782,354	I	by MGK 2009 ⁽³⁾
Common Stock	12/17/202	0	J (1)		123,476(1)	A	\$0 ⁽¹⁾	336,129	I	by ZSK 2009 ⁽³⁾
Common Stock	12/17/202	0	J ⁽²⁾		2,025(2)	A	\$0 ⁽²⁾	338,154	I	by ZSK 2009 ⁽³⁾
Common Stock	12/17/202	0	J ⁽²⁾		2,835(2)	A	\$0 ⁽²⁾	474,194	I	by JPK 2008 ⁽³⁾
Common Stock	12/17/202	0	J ⁽²⁾		2,835(2)	A	\$0 ⁽²⁾	473,231	I	by MGK 2008 ⁽³⁾
Common Stock	12/17/202	0	J ⁽¹⁾		123,476 ⁽¹⁾	A	\$0 ⁽¹⁾	559,037	I	by ZSK 2008 ⁽³⁾
Common Stock	12/17/202	0	J ⁽²⁾		2,835(2)	A	\$0 ⁽²⁾	561,872	I	by ZSK 2008 ⁽³⁾
Common Stock	12/17/202	0	J ⁽²⁾		10,125(2)	A	\$0 ⁽²⁾	1,572,208	I	by MGK 2011 ⁽³⁾
Common Stock	12/17/202	0	J ⁽²⁾		8,100(2)	A	\$0 ⁽²⁾	1,589,531	I	by JPK

2012(3)

1. Title of	Security (Ins	tr. 3)	2. Transaction Date (Month/Day/Yea	r) E	A. Deemed execution Date, any Month/Day/Year	Code	action (Instr.	4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	t Inc Be Ov	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
						Code	v	Amount		(A) or (D)	Price	Reported Transact (Instr. 3	tion(s)			
Common	Stock		12/17/2020			J ⁽¹⁾		222,264	(1)	A	\$0 ⁽¹⁾	442	,387	I	L.	Kellie Banks
Common	Stock		12/17/2020			J ⁽²⁾		1,620(2)	A	\$0 ⁽²⁾	444	,007	I	L.	Kellie Banks T ⁽³⁾
Common	Stock		12/17/2020			J ⁽²⁾		16,200 [©]	2)	A	\$0 ⁽²⁾	1,592	2,206	I		Staff 10 ⁽³⁾
Common	Stock		12/17/2020			J ⁽¹⁾		1,383,858	3(1)	A	\$0 ⁽¹⁾	1,38	3,858	I		Staff 09 ⁽³⁾
Common	Stock		12/17/2020			J ⁽¹⁾		1,383,857	7 (1)	A	\$0 ⁽¹⁾	2,95	9,863	I	St	Sr. aff 108 ⁽³⁾
Common	Stock		12/17/2020			J ⁽²⁾		16,200 [©]	2)	A	\$0 ⁽²⁾	2,97	6,063	I	St	Sr. aff 108 ⁽³⁾
Common	Stock		12/17/2020			J ⁽¹⁾		691,929	(1)	A	\$0 ⁽¹⁾	691	,929	I	by Incentive 2009 ⁽³⁾	
Common	Stock		12/17/2020			J ⁽²⁾		8,100(2)	A	\$0 ⁽²⁾	1,26	50,538		I by Incentive 2010 ⁽³⁾	
		Tal	ole II - Derivativ (e.g., pu		ecurities <i>A</i> alls, warra								d			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any	4. Trans	saction of Deriv. Secul Acqui (A) or Dispo of (D) (Instr. and 5	6. Date Expirat (Month)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities		8. Price of Derivative Security (Instr. 5)	9. Number derivative Securitie Beneficia Owned Followin Reported Transact (Instr. 4)	e Owr es Forn ally Dire or Ir g (I) (I	ership n: ct (D) direct nstr. 4)	11. Natu of Indire Benefici Ownersi (Instr. 4)
			Ī						\top	1	mount					

Explanation of Responses

2. On December 17, 2020, NRM VI Holdings I, LLC ("NRM VI Holdings") made a liquidating distribution of its assets in connection with the dissolution of the fund in accordance with the terms of its Limited Liability Company Agreement, including 13,340,645 shares of the issuer. The following entities in their capacities as limited partners, or designees, of one or more feeder funds of NRM VI Holdings, received shares: R.J. Kirk Declaration of Trust ("R.J. Kirk DOT"), JPK 2009, LLC ("JPK 2009"), MGK 2009, LLC ("MGK 2009"), ZSK 2009, LLC ("ZSK 2009"), ZSK 2008, LLC ("ZSK 2008"), Kellie L. Banks (2009) Long Term Trust ("Kellie L. Banks LTT"), Third Security Staff 2009 LLC ("Staff 2009"), Third Security Senior Staff 2008 LLC ("Sr. Staff 2008"), and Third Security Incentive 2009 LLC ("Incentive 2009").

(D)

Date Exercisable

- 2. On December 17, 2020, NRM VII Holdings I, LLC ("NRM VII Holdings") made a liquidating distribution of its assets in connection with the dissolution of the fund in accordance with the terms of its Limited Liability Company Agreement, including 243,001 shares of the issuer. The following entities in their capacities as limited partners, or designees, of one or more feeder funds of NRM VI Holdings, received shares: R.J. Kirk DOT, JPK 2009, MGK 2009, ZSK 2009, JPK 2008, LLC ("JPK 2008"), MGK 2008, LLC ("MGK 2008"), ZSK 2008, MGK 2011, LLC ("MGK 2011"), JPK 2012, LLC ("JPK 2012"), Kellie L. Banks LTT, Sr. Staff 2008, Third Security Staff 2010 LLC ("Staff 2010") and Third Security Incentive 2010 LLC ("Incentive 2010").
- 3. Randal J. Kirk controls each of R.J. Kirk DOT, JPK 2009, MGK 2009, ZSK 2009, JPK 2008, MGK 2008, ZSK 2008, MGK 2011, JPK 2012, Kellie L. Banks LTT, Staff 2010, Staff 2009, Sr. Staff 2008, Incentive 2009, and Incentive 2010. Shares held by these entities may be deemed to be beneficially owned (as defined under Rule 13d-3 promulgated under the Securities Exchange Act of 1934, as amended) by Mr. Kirk. Mr. Kirk disclaims beneficial ownership of such shares, except to the extent of any pecuniary interest therein.

<u>/s/ Randal J. Kirk</u>

12/21/2020

** Signature of Reporting Person

or Number

of Shares

Title

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Code

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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